Instruction 1(b).

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
|-------------|------------|
|-------------|------------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* ROC Energy Holdings, LLC | | | | | | 2. Issuer Name and Ticker or Trading Symbol ROC Energy Acquisition Corp. [ROCAU] | | | | | | | | | | nip of Reporti oplicable) ector | ng Pers | () | |
|--|---|--|--------------|---|--------------------------|--|--------------|--|-----------------------------|------|---|------------|-----------------|--|--|---------------------------------------|--|--|-----------|
| (Last) 16400 D | (Fir | , | | 3. Date of Earliest Transaction (Month/Day/Year) 12/09/2021 | | | | | | | | | Office below | er (give title /) | | Other (below) | specify | | |
| (Street) DALLA: (City) | | ate) (Z | 5248 Zip) | n-Deriva | | 4. If Amendment, Date of Original Filed (Month/Day/Year) tive Securities Acquired, Disposed of, or Bene | | | | | | | | | Individual or Joint/Group Filing (Check Applicable lee) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| 1. Title of S | Security (Ins | | 1 - 1401 | 2. Transac | | | Deeme | | 3. | וטוס | 4. Securiti | | | | 5. Amo | | 6.0 | wnership | 7. Nature |
| Date | | | | | Exec ay/Year) if an | | cution Date, | | Transaction Code (Instr. 8) | | | | | Securit Benefic | ies cially Following | Forr (D) | m: Direct or Indirect nstr. 4) | of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | Code | v | Amount | (A) (D) | or I | Price | Transa | ction(s) and 4) | | | |
| Common Stock 12/09 | | | | 12/09/ | 2021 | | | | P ⁽¹⁾ | | 81,000 | A | A | \$10 | 5,97 | ,971,000 ⁽²⁾ | | D ⁽²⁾ | |
| | | Tal | | | | | | | | | osed of, onvertib | | | | Owne | d | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | if any | emed on Date, (Day/Year) | Code (8) | sinsaction de (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Exercisable Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4) Amoun or Numbe of Title Shares | | str. De Se (Ir | Price of erivative ecurity estr. 5) | 9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4) | у | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

- 1. Consists of 81,000 placement units purchased by ROC Energy Holdings, LLC ("Sponsor") for \$10.00 per unit in a private placement transaction with the Issuer in connection with the full exercise of the over-allotment. Each such unit consists of one share of Common Stock and one right to receive one-tenth (1/10) of one share of Common Stock.
- 2. Sponsor is the record holder of the securities reported herein. FP SPAC 2, LLC is the general partner of the Sponsor, of which Joseph Drysdale, Jeff Brownlow and Matt Mathison, each of who are managing members. Each of our officers, directors and strategic advisors is a member of the Sponsor. Each such individual disclaims any beneficial ownership of the reported shares other than to the extent of any pecuniary interest he or she may have therein, directly or indirectly.

ROC Energy Holdings, LLC /s/ Joseph Drysdale, Managing Member of FP SPAC 2, LLC, 12/16/2021 the General Partner of ROC Energy Holdings, LLC

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.