SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Kimes Daniel Jeffrey	Requiring S	Date of Event 3. Issuer Name and Ticker or Trading Symbol equiring Statement ROC Energy Acquisition Corp. [ROCAU] 2/01/2021 ROC Energy Acquisition Corp. [ROCAU]					
(Last) (First) (Middle) C/O ROC ENERGY ACQUISITION			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)	
CORP. 16400 DALLAS PARKWAY			X Officer (give Other title below) below		(specify	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person	
(Street) DALLAS TX 75248			Chief Executiv	e Officer			by More than One Person
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
Та	ble I - Non	-Derivativ	ve Securities Benefic	cially O	wned		
Ta 1. Title of Security (Instr. 4)	ble I - Non	2 E	ve Securities Benefic Amount of Securities Beneficially Owned (Instr. I)	3. Own Form: I (D) or II (I) (Inst	ership 4. Direct O ndirect	Nature of Indire wnership (Instr.	
1. Title of Security (Instr. 4)	Table II - D	erivative	Amount of Securities Beneficially Owned (Instr.	3. Owno Form: I (D) or II (I) (Inst	ership Direct odirect (2.5) eed		
1. Title of Security (Instr. 4)	Table II - D	erivative s, warrar isable and ate	2. Amount of Securities Beneficially Owned (Instr.)) Securities Beneficia	3. Own Form: I (D) or I (I) (Inst ible sec ecurities	ership Direct odirect (2.5) eed	wnership (Instr. 5. on Ownership	

Explanation of Responses:

Remarks:

The reporting person has an indirect pecuniary interest in securities of the registrant through his membership interest in ROC Energy Holdings, LLC, over which the reporting person does not have voting or dispositive control.

No securities are beneficially owned.

/s/ Daniel Jeffrey Kimes

Date

12/01/2021

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.